

Ligand Engineering of Polymer Nanocomposites: From the Simple to the Complex

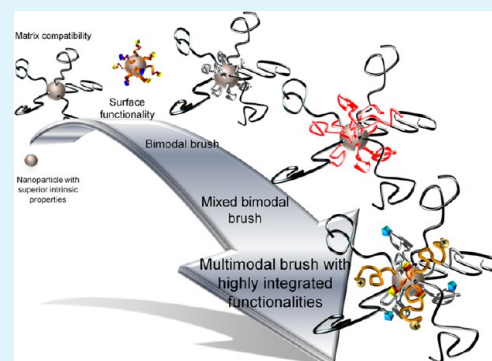
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ABSTRACT: One key to optimizing the performance of polymer nanocomposites for high-tech applications is surface ligand engineering of the nanofiller, which has been used to either tune the nanofiller morphology or introduce additional functionalities. Ligand engineering can be relatively simple such as a single population of short molecules on the nanoparticle surface designed for matrix compatibility. It can also have complexity that includes bimodal (or multimodal) populations of ligands that enable relatively independent control of enthalpic and entropic interactions between the nanofiller and matrix as well as introduce additional functionality and dynamic control. In this Spotlight on Applications, we provide a brief review into the use of brush ligands to tune the thermodynamic interactions between nanofiller and matrix and then focus on the potential for surface ligand engineering to create exciting nanocomposites properties for optoelectronic and dielectric applications.

KEYWORDS: surface ligand, surface functionalization, polymer brush, polymer nanocomposite, optoelectronic application, dielectric application, review



INTRODUCTION

One of the critical knobs that can be turned to optimize the performance of polymer nanocomposites is surface ligand engineering of the nanofiller to control and enhance interface properties.^{1–7} Surface ligand engineering can be as simple as the placement of short molecules on the nanofiller surface that improves the enthalpic compatibility between the filler and the matrix, or as complex as several populations of polymer chains that both add functionality and create controlled compatibility with the matrix, as illustrated in Figure 1. In the latter case, nanofiller assembly can be controlled independently from surface functionality. This paper summarizes focused work on surface ligand engineering and highlights applications that can benefit significantly from surface ligand engineering. We limit this article to spherical inorganic nanoparticles (nanofillers or nanoparticles for the sake of brevity). We stop short, however, of including isotropic inorganic nanoparticles carrying asymmetric functionalities, which is one class of Janus nanoparticles.^{8,9} We briefly address the thermodynamic interactions controlling the nanofiller/matrix interactions as well as the chemical approaches to surface modification. We focus primarily on optoelectronic and dielectric applications in which the complexity of surface ligand engineering can potentially have the largest impact.

Predicting the interaction between surface-ligand-engineered nanofillers and small solvent molecules is relatively straightforward for spherical nanofillers. The dispersion is governed by a balance between the filler core/core enthalpic attraction, ideal translational entropy and, more importantly, the entropy-

related steric hindrance provided by surface ligands.^{10–13} When the fillers are sufficiently small, the enthalpic driving force for nanofiller agglomeration is primarily determined by the van der Waals (vdW) core/core interactions.¹⁴

When dispersing spherical nanofillers in a polymer or oligomeric matrix (even in solution), the enthalpic and entropic effects are more complex. Small surface molecules protect the nanofiller against aggregation either by sterically preventing clustering, or by minimizing enthalpic differences between the nanoparticles and the matrix, the latter of which is usually dominant.^{15,16} Quantitatively, the enthalpic interactions can be described by “effective” or “cooperative” core/core vdW interaction energy between the grafted nanoparticles. One route to determining this enthalpic interaction uses the Hamaker constants of the pristine particle, the attached surface molecules, the matrix, and the dimension of the modified filler.^{17–19} As the molecular weight of the surface molecule increases, the ligands are referred to as brushes. Monodisperse polymer brushes have been studied extensively since the early work of Alexander and de Gennes.^{20–23} This seemingly simple approach to compatibilization, however, is complex. Intuition suggests that a high enough graft density and molecular weight

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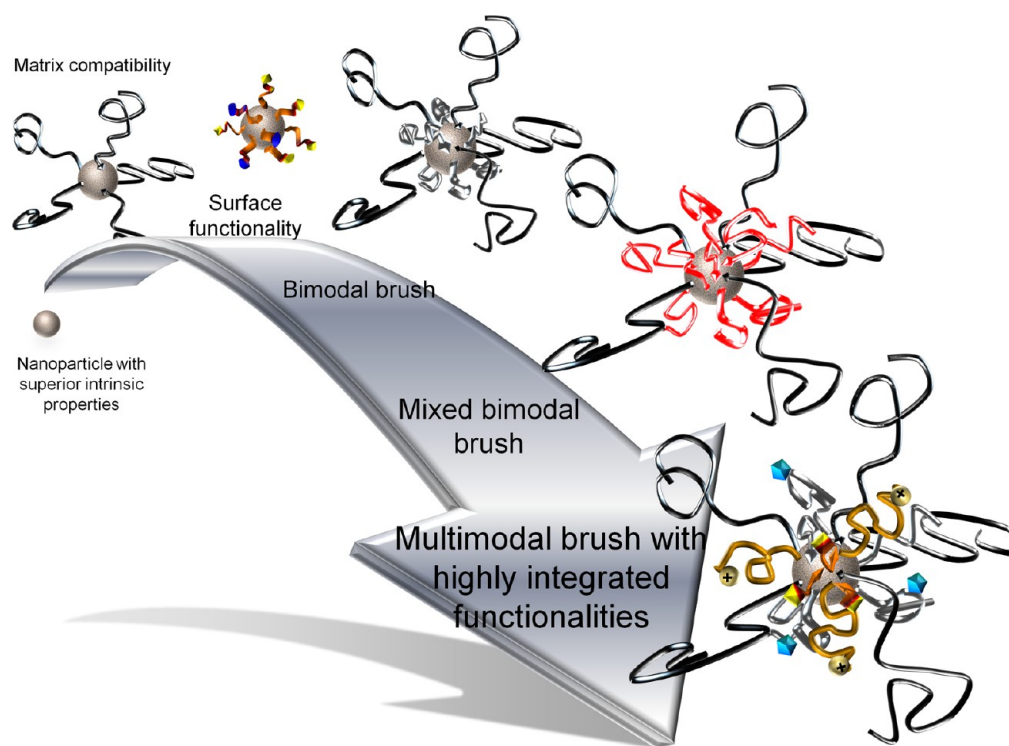


Figure 1. Surface ligand engineering going from the simplest to the most complex modifications (lab on a particle).

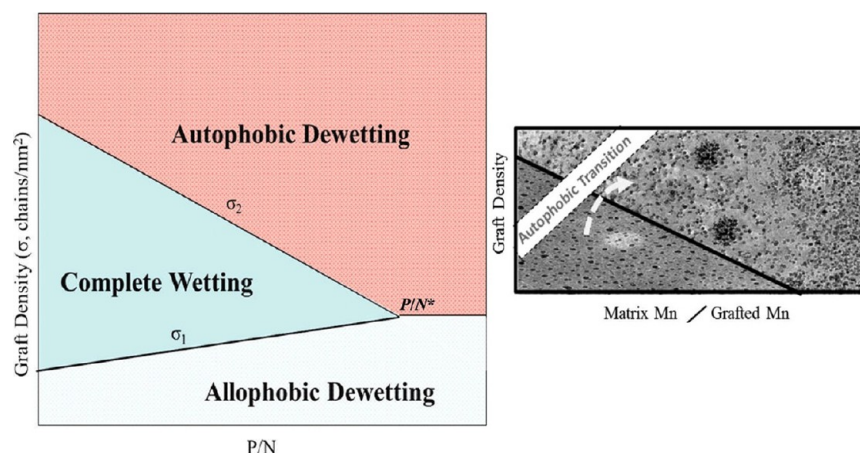


Figure 2. Schematic wetting (or compatibility) map as a function of the matrix and brush polymer molecular weights, P and N , and graft density, σ .²⁵ A window for achieving random dispersion exists between critical graft densities σ_1 and σ_2 , and fillers are matrix-incompatible at all graft densities beyond a critical value of P/N . Adapted with permission from ref 25 (Copyright © 2012 American Chemical Society).

will screen the filler core/core vdW attractions and prevent allophobic dewetting. This intuition has been confirmed by the positive correlation between good dispersion and high surface coverage or a high value of $\sigma\sqrt{N}$ for short brushes, where σ is the brush graft density, and N is the degree of polymerization of the brush.²⁴ Entropy, however, plays an increasingly large role as the matrix molecular weight increases, and the entropic penalty for the matrix to penetrate the brush increases. Thus, at high graft density, autophobic dewetting (as evidenced by filler agglomeration) occurs.^{25–29} As shown in Figure 2, the window between allophobic dewetting and autophobic dewetting becomes even narrower at higher matrix to brush molecular weight ratios (P/N).²⁵ For functional hybrid nanocomposites, which require a high molecular weight matrix with sufficient mechanical integrity and a low volume fraction of brush

polymer to ensure high nanofiller loading (above ~10 vol %), the parameter space for desirable filler/matrix interaction is often limited.¹⁸ The situation is even more challenging when functional groups or brushes that are incompatible with the matrix are incorporated into the surface ligand system.

As a means to overcome the entropic penalty that leads to autophobic dewetting and filler agglomeration, theoretical investigations have focused on understanding the influence of brush polydispersity on polymer brush behavior.^{30–33} Such exploration is practical because polydispersity in molecular weight is common especially for industrial and commercial grade samples. It has been found that polydisperse polymer brushes facilitate the penetration, or wetting, of the brush layer by the matrix chains, and in turn stabilize the dispersion of grafted nanofillers even at high graft densities, where a

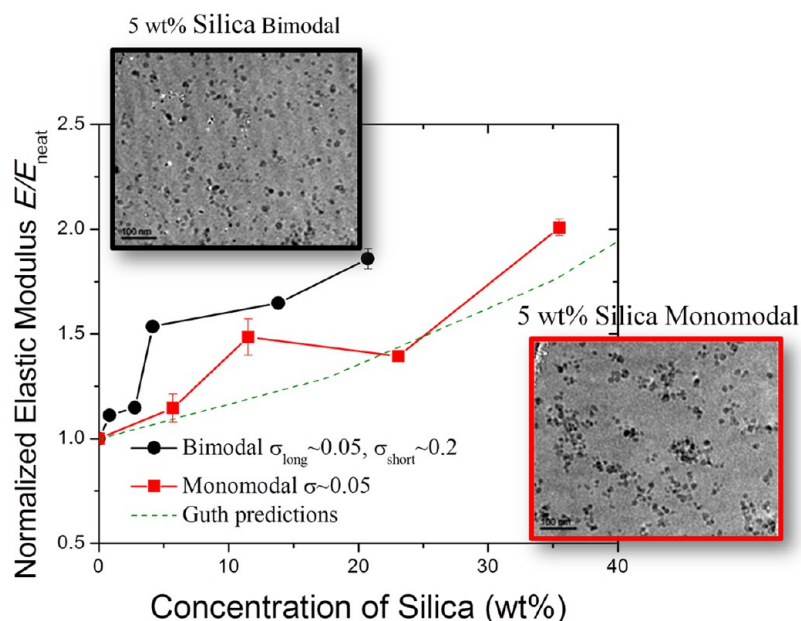


Figure 3. Comparison of the normalized indentation elastic modulus between bimodal brush systems (black line, ~ 118 kg/mol long brush at ~ 0.05 ch/nm^2 graft density and ~ 7 kg/mol short brush at ~ 0.2 ch/nm^2), monomodal brush systems (red line, graft densities and molecular weights almost identical to those of the long brushes of the bimodal systems), and Guth predictions for SiO_2 in the 96 kg/mol polystyrene matrix (green dash line). Inset: TEM images showing the dispersion of the corresponding bimodal (black border) and monomodal (red border) systems, scale bar 100 nm.^{17,36} Reproduced with permission from ref 17 (copyright 2013 American Chemical Society) and ref 36 (copyright 2012 American Chemical Society).

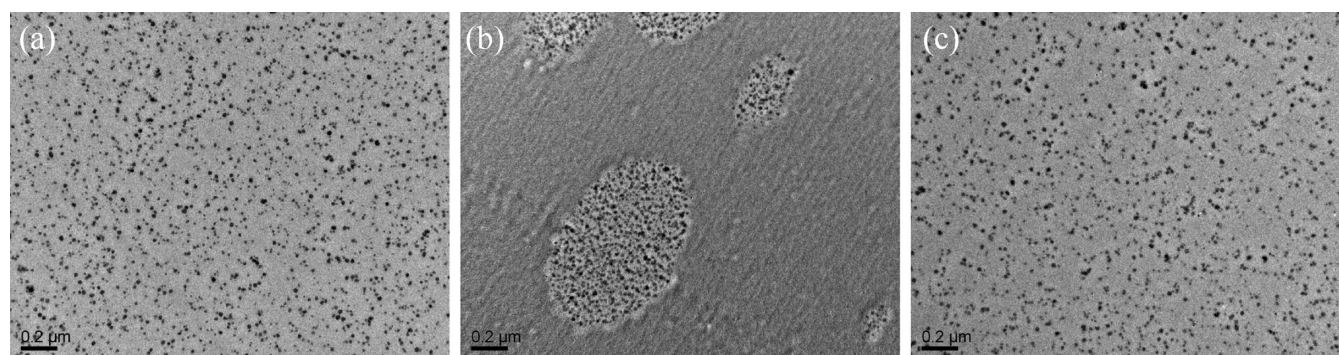


Figure 4. TEM micrographs of 5 wt % mixed-bimodal-brush-grafted SiO_2 nanoparticles, grafted with ~ 205 kg/mol PMMA long brush at ~ 0.67 ch/nm^2 graft density, and ~ 2 kg/mol PS short brush at ~ 0.26 ch/nm^2 , dispersed in (a) ~ 100 kg/mol PMMA, (b) ~ 100 kg/mol PS, and (c) ~ 300 kg/mol PMMA matrices, scale bar 0.2 μm .

monodisperse brush would cause aggregation.^{32,33} The polydispersity of the matrix is also important and in systems where polydispersity is more commercially relevant, the window of brush molecular weight and graft density that leads to good dispersion increases.

As the simplest form of polydispersity, a polymer brush with bidisperse molecular weight is termed a bimodal brush. Bimodal brushes increase the controllable parameter space from brush length, graft density, and brush to matrix molecular weight ratio, to include long to short brush population ratio and effective graft density.^{17,18,34–36} The power of this approach is that it provides a method for independently controlling the enthalpic and entropic interactions. The densely grafted short brushes enthalpically screen the vdW core/core attraction, which is especially critical for nanofiller/matrix systems with large surface energy mismatch. The sparsely grafted long brushes suppress entropic dewetting of high-molecular-weight polymer matrices. Early theoretical work on bimodal polymer

brushes focused on their equilibrium conformation in a good solvent.^{37–39} These studies have suggested independent density profiles of the long and short brush populations; and, the effect of bimodal distribution on brush conformation to be more significant for longer chains than shorter ones.^{31,33} Such bidispersity has also been used to reduce the entropic surface tension of polymer brushes and suppress dewetting of thin polymer films.^{40,41} In bulk nanocomposites, bidispersity decreases the driving force for agglomeration. Figure 3 shows the enhancement in indentation modulus for nanocomposites with polystyrene (PS) brush grafted SiO_2 nanoparticles dispersed within ~ 96 kg/mol PS matrices.¹⁷ Compared to ~ 100 kg/mol monomodal brush systems with a graft density of 0.05 ch/nm^2 , the bimodal brush systems, with a comparable long brush grafted at a graft density of ~ 0.05 chains/ nm^2 and a short brush of ~ 7 kg/mol at ~ 0.2 graft density, demonstrate significantly improved dispersion.³⁶ In addition, the indentation modulus of the bimodal system is higher than both the

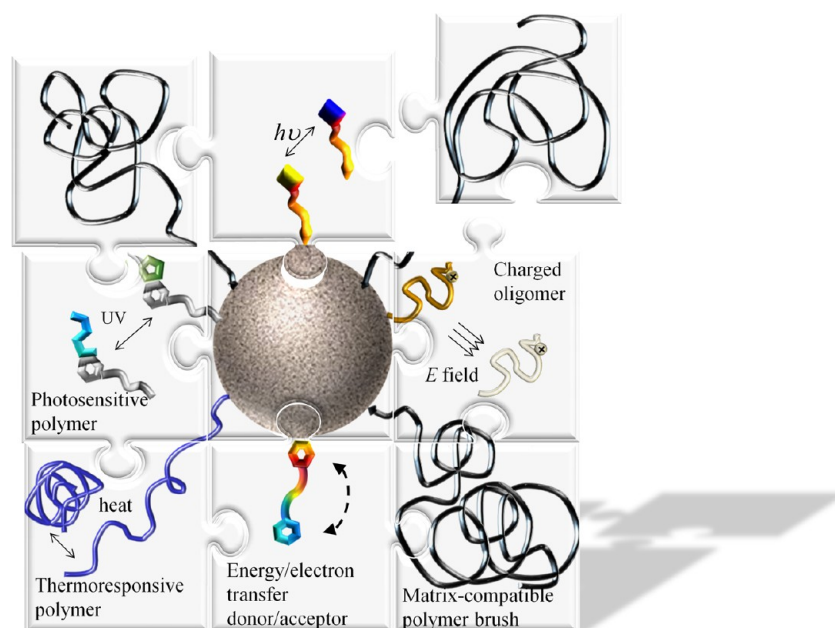


Figure 5. “Jigsaw puzzle” of the types of surface engineered molecules that could potentially be pieced together on a nanoparticle for optoelectronic applications.

composites with the monomodal brush modified particles and typical theoretical predictions.^{17,36} This indicates that bimodal modification improves the dispersion and leads to matrix/brush entanglement and consequently strong filler matrix mechanical interaction.

The next level of complexity in surface ligand engineering is a polymer brush containing two chemically distinct species. These are mixed brushes, and extensive research based on mixed brushes grafted to flat or curved substrates has been carried out with a focus on environmental responsiveness to solvent conditions.^{7,42–44} A mixed bimodal brush combines the desirable features of both bimodal and mixed brushes. It consists of two chemically distinct polymer brush species with significantly different molecular weights covalently tethered to the surface of nanofillers. The long brush plays a critical role in achieving good nanofiller dispersion when the short brush is enthalpically incompatible with the polymer matrix. Figure 4 shows results from our group for a model system of SiO₂ nanoparticles densely grafted with PS short brush and sparsely grafted with poly(methyl methacrylate) (PMMA) long brush. Good dispersion of nanoparticles was obtained in a PMMA matrix, which is chemically identical to the long brush while incompatible with the short brush. In contrast, spherical agglomeration of grafted nanoparticles was observed within a PS matrix, where the PMMA long brush collapses onto the nanoparticle surface to avoid contact with the PS matrix. Interestingly, good nanofiller dispersion did not deteriorate at higher matrix to brush molecular weight ratios, in spite of diminishing brush/matrix entanglement. It can be expected that a mixed multimodal brush can provide an opportunity to add functionality (using the short brush) while maintaining control over dispersion (using the long brush). Ironically, it is the complexity of multimodal surface ligand engineering that can simplify situations where matrix-incompatible functional groups need to be introduced into the system, and expand the choices of nanofillers, matrices, and the surface ligand chemistry that can be used to optimize properties.

Computation and theory have been important in guiding the design of nanoparticle brushes for use in both colloids and polymer matrices. Early work, including scaling models based on simple free energy balancing^{45–47} and self-consistent field theory (SCFT) lattice models,^{48–52} focused on analytically describing the brush equilibrium conformation (i.e., brush height and segment density distribution) and effective interactions between grafted surfaces under solvent conditions. Scaling models,^{53,54} SCFT,^{28,55–58} density functional theory (DFT),^{59,60} and the polymer reference interaction site model (PRISM)^{33,61,62} have elucidated the effect of the brush chain length, graft density, polydispersity, and chemistry on the brush wetting/dewetting behavior in a polymer matrix, interaction potential between grafted nanoparticles, and the resulting morphology of nanocomposites. Although many models have focused on situations where the grafted polymer is chemically identical to the matrix polymer,^{33,56,57,63–65} there are a few that have handled the complexity of mixed polymer brushes.^{66,67} An in depth discussion is outside the immediate scope of this paper, but a comprehensive summary of modeling and theory studies can be found in a number of excellent reviews.^{27,68–72}

■ OPTOELECTRONIC APPLICATIONS

Surface ligand engineering has exciting implications for advanced optoelectronic applications. For example, theoretically we can take the various complexities described above and piece them together to create a polymer nanocomposite that is flexible and transparent, with both high refractive index and color conversion capability, and that reversibly responds to external stimuli such that the spatial distribution or extent of the optoelectronic properties can be dynamically controlled by an electric field or temperature (Figure 5). In the simplest case, taking advantage of the intrinsic properties of the fillers (such as nanoscale size to limit light scattering and suitable crystalline phase for high refractive index) and polymer matrices (such as flexibility, good processability, and transparency) still requires good nanofiller dispersion.^{5,73–75} Given the refractive index mismatch (and thus enthalpic incompatibility) of filler and

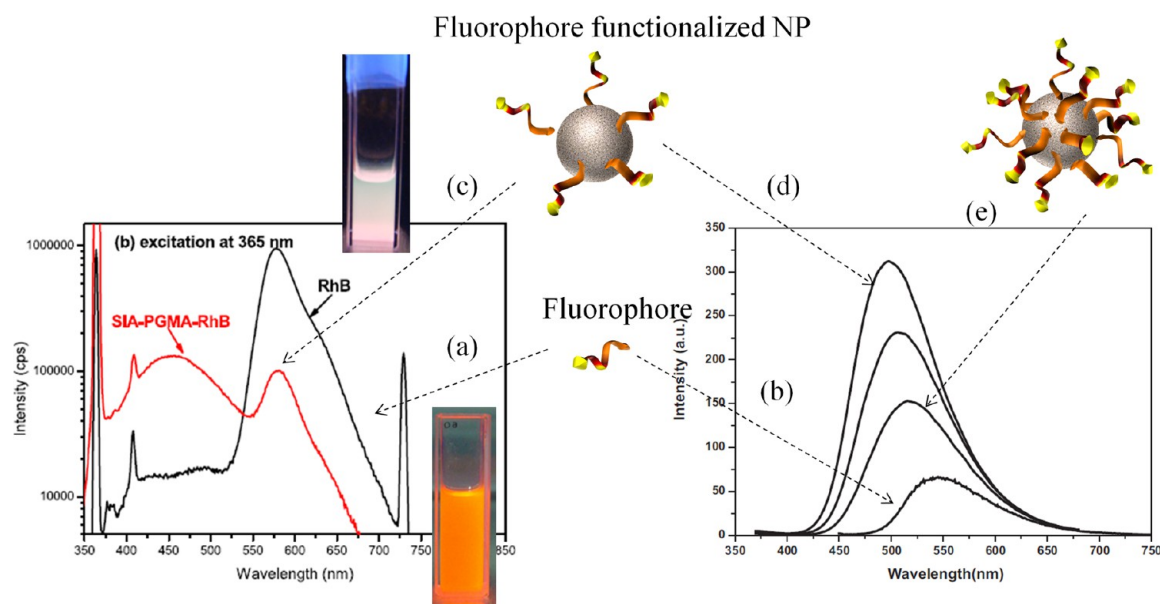


Figure 6. PL spectra showing how the attachment of an organic fluorophore ligands influences the fluorescent emission of functionalized nanoparticles.^{77,88} (a) Rhodamine B (RhB) fluorophore; (b) Zn(MQ)₂ complex (MQ: 5-(2-methacryloyloxyethyl)oxymethyl)-8-quinolinol); (c, d) corresponding fluorophore-functionalized nanoparticles to a and b; (e) functionalized nanoparticles suffering from concentration-quenching due to a higher graft density. Inset: digital photo for the a and c solution, respectively, where white light photoluminescence was obtained through mixing of yellow and blue emissions. Reproduced with permission from ref 77 (copyright 2008 Wiley-VCH) and ref 88 (copyright 2008 IOP Publishing).

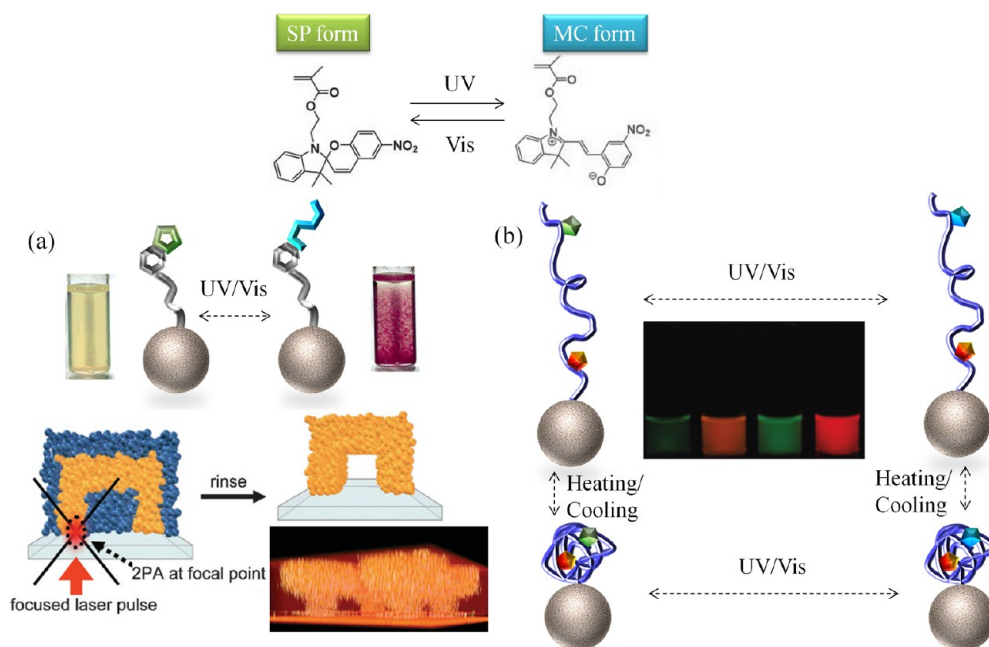


Figure 7. Photoswitching of surface ligands with a spirobenzopyran (SP) group to the fluorescent merocyanine (MC) form for use in direct laser writing^{80,81} and switchable multicolor luminescent systems.⁹⁰ (a) Phototriggered aggregation and sedimentation behavior of SP-functionalized SiO₂ colloidal particles and their patterning process for 3D porous microscale structures. Inset: reflectance-mode confocal microscopy image of self-supported mushroom structure. (b) Thermoresponsive polymer brush with inner and outer layers of the brush selectively labeled with fluorescence resonance energy transfer (FRET) donors and photoswitchable acceptors. The FRET process can be controlled by UV/visible light irradiation, meanwhile thermo-induced collapse/swelling of the thermoresponsive PNIPAM gives rise to another level of modulation of the FRET efficiency by tuning spatial distances between fluorescent donors and acceptors. Reproduced with permission from ref 80 (Copyright 2009 Wiley-VCH), ref 81 (copyright 2006 American Chemical Society), and ref 90 (copyright 2009 American Chemical Society).

matrix, surface ligand engineering is essential. It could be as simple as a single population of chains with functional groups,^{76–84} or a bimodal population of chains that maintain good dispersion of the filler within the matrix^{17,18,34,36} or as complex as adding multiple functionalities while still using long

chain ligands to ensure matrix compatibility.⁸⁵ These additional functionalities could include: photoluminescence, stimulus-responsiveness, energy conversion and storage, etc.

2.1. Dispersion in Solvents. Extensive studies on functionalizing nanoparticles using surface ligand engineering

have been completed on solvent suspensions or hybrid nanoparticle/solvent mixture systems. As a means for introducing photoluminescence for various applications such as luminescent tags, display planes, light emitting devices, etc.,^{75,86,87} the use of rare-earth compound nanocrystals can be replaced with surface ligands containing fluorescent organic compounds. The surface-functionalized nanoparticles give fluorescent emission that can be tuned without changing the intrinsic crystal structure and size of the nanoparticles,^{76,88} or provide mixed light of different wavelengths split from only one fluorophore emission (Figure 6).⁷⁷ The functionalized nanoparticles can further react with a range of substrates/surfaces to create fluorescent labeled objects.⁷⁸

Another desired feature of optoelectronic materials is stimulus-responsiveness, which leads to many potential applications in sensors, optical switching, optical recording, pattern formation, and other photomodulated devices.^{79–82,89} Functional units or polymer brushes that are responsive to the change of light, temperature, solvent quality, or electric field could be incorporated into the surface ligand systems. This approach has proven useful in manipulating the ligand conformational transformations to (1) modulate nanoparticle solubility in organic solvents,⁸⁹ (2) direct colloidal deposition onto photoswitchable substrate regions,^{79,80} (3) interfere with other molecular functionalities integrated on the nanoparticle, such as switching of the fluorescence resonance energy transfer (FRET) process, to provide additional levels of modulation of the FRET efficiency (Figure 7).^{90–92} In addition, the diversity of the surface ligand engineering toolbox allows optimization of nanoparticle/ligand interactions, such as energy and electron transfer processes from a surface-bound dye to the nanoparticle conduction band, leading to potential applications in light harvesting and photovoltaic solar cells.^{93,94} Solar cell efficiency, which is largely determined by the efficiency of excited-state electron transfer process from a sensitizer dye to a semiconductor nanoparticle surface, could be tuned by varying the distance and anchoring orientation of the dye unit with respect to the nanoparticle surface.⁹⁵

2.2. Dispersion in Polymer Matrices. Another key piece of the puzzle after functionalization, however, is dispersion of the functionalized nanoparticles into polymeric matrices. This is important not only for realizing the processability and commercialization of the optoelectronic materials, but also for taking advantage of the intrinsic properties of filler and matrix materials.^{5,96,97} Flexible high-refractive-index optoelectronic materials with high optical transparency can be obtained by uniformly dispersing high-refractive-index nanoparticles such as TiO₂ or ZrO₂ into processable transparent polymer matrices,⁹⁸ leading to widespread applications including optical adhesives, encapsulants for light-emitting diode devices (LEDs), antireflective coatings, polarizers, optical data storages, and optical waveguides, etc.^{35,73,98–103} The biggest challenge in dispersing high-refractive-index nanofillers in polymer matrices lies in their strong enthalpic incompatibility.⁵ Success has been achieved in situ incorporation of nanoparticles,^{76,88,104} or homogeneous dispersion of nanoparticles in low molecular weight polymer matrix,¹⁰⁵ where the strongly bound matrix-compatible surface ligands not only markedly diminish the specific surface energy mismatch but also readily interpenetrate with short matrix chains to suppress autophobic dewetting. However, optimizing the optical properties of polymer nanocomposites requires both a high volume fraction of nanofiller, for greater property enhancement, and high molecular weight of matrix, for better

mechanical integrity. In this case, conventional attempts to use a single population of surface ligands to control nanoparticle dispersion are challenged by a dilemma between the need for high graft density to reduce particle core/core attractions and the need for low graft density to reduce the entropic penalty for matrix penetration into the brush.^{24,25,106} Dispersing a large volume fraction of nanoparticles with a large surface energy mismatch into a bulk polymer matrix was successfully achieved using bimodal brush surface ligand engineering leading to mm-thick transparency polymer nanocomposites for LED encapsulants, as shown in Figure 8b.^{18,34}

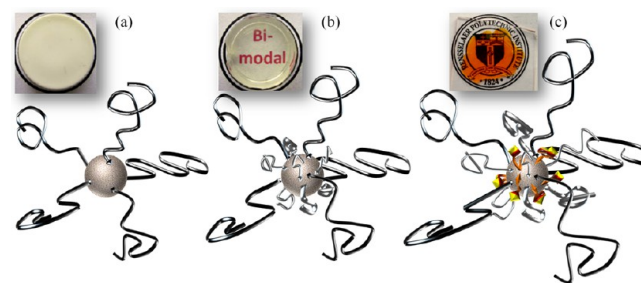


Figure 8. Comparison of the transparency (which is critically dependent on dispersion) between (a) monomodal, (b) bimodal, and (c) mixed multimodal brush modified nanoparticle/silicone nanocomposites.^{18,85} Reproduced with permission from ref 18 (copyright 2013 American Chemical Society) and ref 85 (copyright 2013 Cambridge University Press).

2.3. Added Functionality and Controlled Dispersion.

Putting together the pieces of the puzzle, we can also create functionalized nanoparticles and disperse them. Earlier work attempting to simultaneously introduce functionality and matrix compatibility onto nanoparticle surfaces was achieved with a polymeric ligand system containing a robust anchor, a functional molecule, and a group that increased the solubility of the nanoparticles in organic solvents tailored for various potential applications.¹⁰⁷ For ex-situ preparation of bulk polymer nanocomposites, surface functionalities typically do not protect the nanoparticles against agglomeration. Thus, in order to have independent control over functionality and matrix compatibility, a mixed-multimodal-brush approach is more powerful. In a notable example, yellow-emitting organic phosphor molecules with suitable anchoring groups were attached onto bimodal brush grafted ZrO₂ nanoparticles.⁸⁵ The matrix-compatible bimodal brushes ensure homogeneous dispersion of the nanoparticle within high molecular weight commercial silicone matrix, as shown in Figure 8c; meanwhile the phosphor ligands offer tunable photoluminescence properties of the nanocomposites in terms of (1) the robust anchoring of organic fluorescent group effectively reduces nonradiative quenching and therefore gives more efficient fluorescent emission; (2) the phosphor intermolecular spacing can be controlled by the mixed-multimodal-brush design including varying the graft density and volume fraction of each brush population, as well as the loading fraction of functionalized nanofillers. The obtained high-refractive-index nonscattering color-conversion silicone nanocomposite is a promising candidate for white LED encapsulation.⁸⁵ As a proof-of-concept trial, we created a similar mixed-multimodal-brush modified ZrO₂/silicone system. With optimized graft density of phosphor ligands ensuring highly efficient fluorescent emission, the functionalized nanoparticles were uniformly dispersed

within silicone matrices at different loading fractions. The transparent hemispherical-shaped nanocomposites were excited with a blue LED and the resultant CIE color coordinates were measured to demonstrate the color-tuning capability of the nanocomposite, as shown in Figure 9.

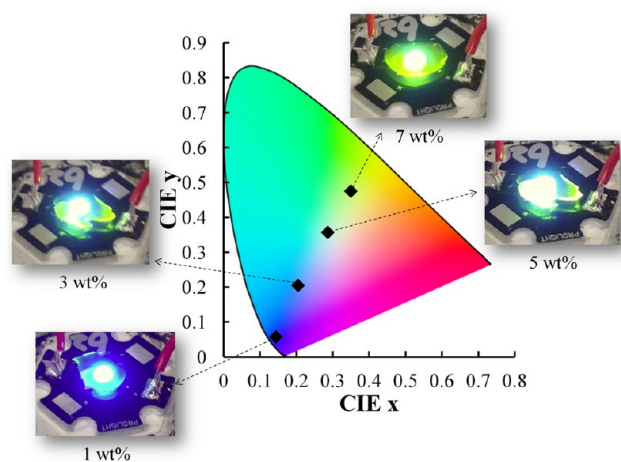


Figure 9. CIE x - y coordinates and corresponding digital photographs of a blue LED coated with silicone nanocomposites containing mixed-multimodal-brush modified ZrO_2 /silicone nanoparticles at different loadings.

Looking forward, we envision even more complex nanocomposite systems. For example, to make a flexible, transparent, high-refractive-index, color-converting polymer nanocomposite with dynamically controllable properties, we can utilize mixed multimodal surface ligand engineering (Figure 10): long brushes grafted onto high-refractive-index nanoparticles at a relatively low graft density to tailor the entropic interaction and interparticle spacing, two shorter brush species with organic fluorescent groups and stimuli-responsive units, respectively, attached to introduce photoluminescence and external field mobility, and the residual grafting sites on the

particle surface filled with a densely grafted short brush to tailor the particle core/core enthalpic interaction. The graft density and volume ratio of each brush species can be adjusted to prevent high-refractive-index nanoparticle agglomeration as well as promote more efficient fluorescent emission by localizing organic fluorescent groups in a manner that reduces nonradiative quenching. Such nanocomposites will offer exciting possibilities in advanced lighting applications such as dynamic optical control, including color mixing and beam shaping, of smart LED devices at the luminaire level. Mechanical integrity and the shape changing ability of the nanocomposite can be tailored by further introducing additional network-forming groups, as in an organogel, into the long brush chains, or adapting a suitable encapsulation material for dynamically adjustable shape and graded refractive index gradients for new levels of optical control in future lighting systems.

■ NANODIELECTRICS

The enhanced dielectric properties of nanofilled polymers have led to significant research in this field.^{108–110} For example, the addition of nanoscale fillers to traditional insulating polymers has led to 50% improvements in dielectric breakdown strength and order of magnitude improvements in endurance strength.^{108,111} Although the mechanisms leading to this improvement are still under investigation, the primary cause is likely related to carrier trapping and scattering at the nanofiller/matrix interface during the development of electronic avalanches. Nanocomposites have also exhibited both permittivity below and above that predicted theoretically, and in some cases, the permittivity can be increased without increasing the loss in frequencies of interest.¹¹² The tailorability of the dielectric constant is due to the high dielectric constant of some nanofillers, the ability of the nanofillers to alter local polymer chain mobility, morphology, and the local interfacial polarization that occurs or is introduced through surface ligands. Comparison of the effect of nanofiller dispersion on breakdown strength¹¹³ and permittivity¹¹⁴ as well as identification of electronically altered behavior of the matrix in the

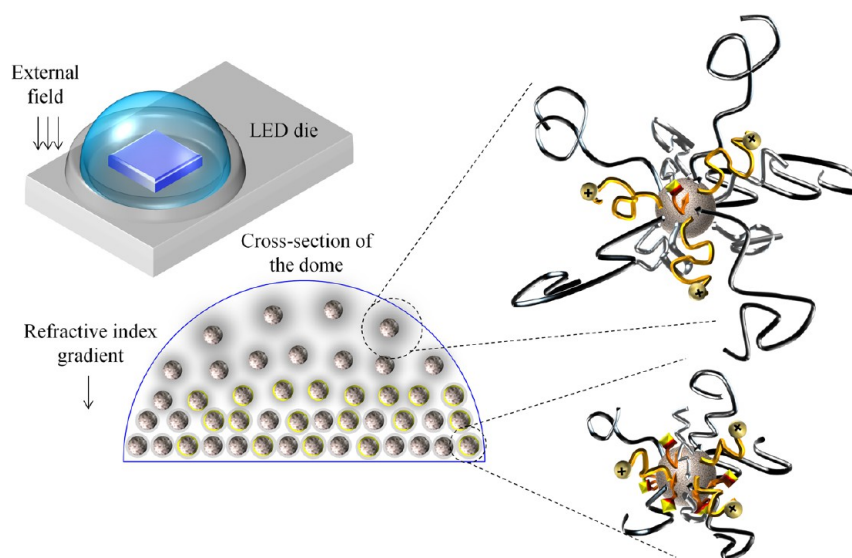


Figure 10. Schematic view of a nonscattering color-converting LED encapsulant with dynamically controllable refractive index gradient for advanced solid-state lighting applications. Graft density and volume fraction of each brush populations are adjusted for optimal color-converting efficiency and light extraction efficiency.

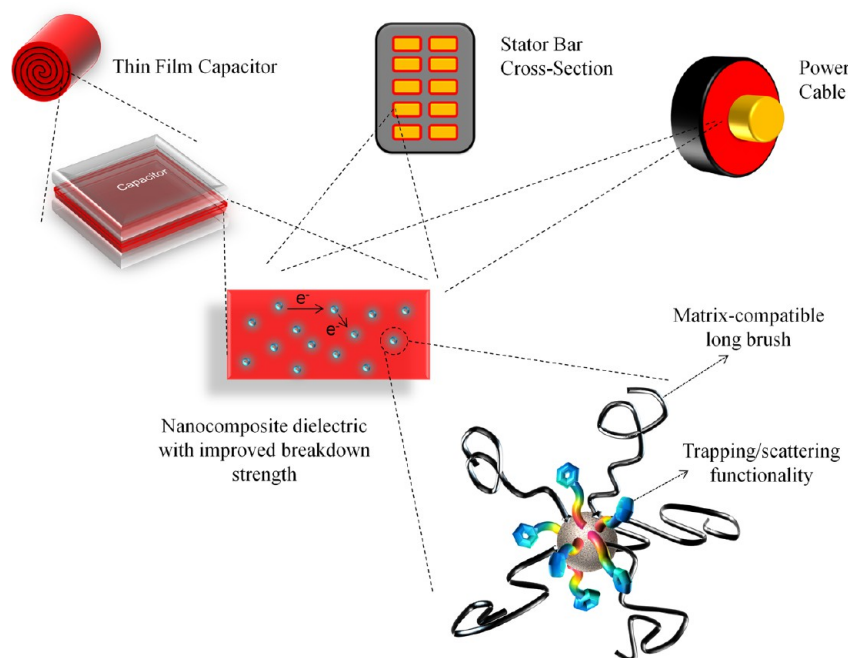


Figure 11. Schematic view of example dielectric applications critically dependent on breakdown strength. Composites utilizing mixed bimodal brush grafted filler particles comprised of long chains to control dispersion and short electronically functional chains for breakdown strength enhancement may revolutionize materials design for dielectrics.

region around the filler particles^{115–117} singles out the matrix/filler interface as the critical feature in determining the dielectric properties of a nanocomposite.^{118–121} Therefore, surface ligand engineering is a powerful means for tailoring the interface and thus dielectric properties that impact a variety of dielectric applications (Figure 11).

3.1. Dispersion in Polymer Matrices. Control over dispersion is critical to fully realizing the potential of the nanofiller interface to tailor the permittivity, breakdown strength, and endurance.¹²² Poor dispersion will lead to agglomerates that induce the same deleterious effects as their micrometer-scale counterparts. Micrometer-scale defects cripple dielectric breakdown strength while increasing dielectric losses.^{118,123–125} Additionally, because of the random nature of agglomeration, high-aspect-ratio asperities may be created, leading to significant local field concentrations, further reducing breakdown strength (though potentially increasing permittivity). Thus, at the very least, surface modification is required to control dispersion. This control has been achieved repeatedly through ligand engineering^{42,126} and has been demonstrated directly in nanodielectrics, increasing breakdown strength by up to 50% through the reduction of agglomeration.^{127–129} Challenges still exist, however, when nanoparticles with short molecule ligands have been scaled up for commercial use. Accordingly, the use of brushes, particularly in thermoplastics, should be more fully explored. The challenge here lies in the attachment of polymer brushes with the chemistry compatible with typical insulating materials such as olefins. It is also important to note that unlike in optical applications, random dispersion may not be the optimal mixing state. Though unstudied to date, semidisperse structures such as strings or sheets or fractal-like structures¹³⁰ may be the key to optimization permittivity and breakdown.¹¹³ This level of control also requires the use of brushes. This is a relatively unexplored area ripe for optimization.

3.2. Altering Matrix Chain Mobility. Once dispersion has been achieved, a significant opportunity for surface ligand engineering is in altering the chain mobility in the interfacial region.¹³¹ Reduction in matrix chain mobility reduces the permittivity.¹³² In general, in systems where the matrix is strongly associated with the filler causing an increase in the glass transition temperature there will also be an accompanying decrease in permittivity.^{133,134} Mobility can be reduced through polymer ligands that entangle with the matrix or short ligands that covalently bond with the matrix.¹¹² In addition, this decrease in mobility is accompanied by a reduction in free volume which is hypothesized to be a critical factor in increasing breakdown strength.¹³⁵ Covalent bonding of nanofillers to the matrix through cross-linkable functional groups is expected to reduce mobility and free volume and has been shown to be effective at increasing breakdown strength.^{136,137} Mobility can also be altered through changes in the crystalline structure. All else being equal, crystalline regions have a lower permittivity and lower loss because of limited molecular mobility due to increased packing density.¹³⁸ Short molecules can alter the filler surface energy, which alters nucleation and growth^{139–141} and thus the local crystalline morphology. These changes in crystalline morphology can impact both permittivity and breakdown strength through the same mechanisms discussed above.^{142,143} This is also an area that deserves further exploration. An interesting alternative was demonstrated in ferroelectric polymers in which the crystalline regions are implicated as the primary source of their remarkable polarizability. The use of nanofillers to enhance crystallinity produced enhanced permittivity in these systems.^{144,145} Percent crystallinity and crystallite size display significant effects on breakdown strength in polymers as well¹⁴⁶ and though not well studied as of yet, control over microstructure through the addition of nanofillers offers yet another knob for tuning dielectric properties. These morphological changes provide an interesting opportunity enhancing the dielectric strength and

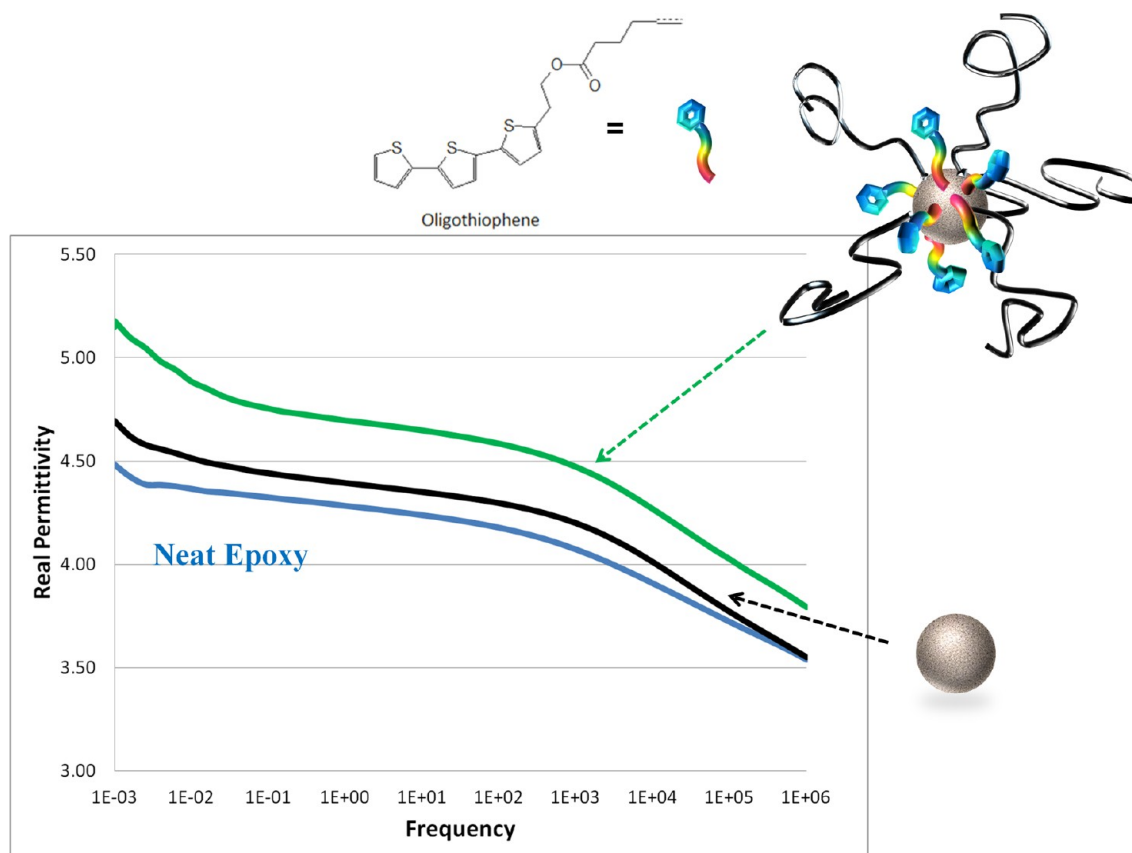


Figure 12. Real permittivity of an epoxy (bisphenol A diglycidyl ether based) and two SiO₂/epoxy nanoparticle composites, one with unmodified SiO₂ nanoparticle fillers, and one with mixed bimodal brush modified fillers (long chain: ~10 kg/mol polyglycidylmethacrylate for matrix-compatibility; short chain: trithiophene oligomers). SiO₂ nanoparticle fillers with mixed bimodal surface ligands drive composite permittivity higher than that of the base resin (shown) or SiO₂ (3.9).¹⁴⁹

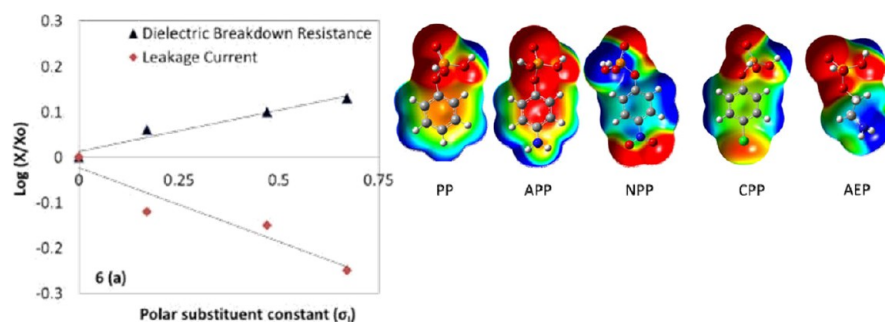


Figure 13. Comparing dielectric composite properties to polar Hammett constants of ligand molecules reveals dependence of bulk composite properties on interface chemistry.¹⁵² Reproduced with permission from ref 152. Copyright 2013 American Chemical Society.

controlling permittivity in dielectric composites through ligand engineering.

3.3. Electronically Active Ligands. Polar groups can also be grafted to the nanofiller surface directly to enhance permittivity above the values predicted by traditional permittivity models.^{147,148} In some cases, these groups may form due to a reaction with the filler surface,¹⁴⁷ but can also be tailored via coupling agents.¹¹⁴ The challenge in this case is that the polar ligands can cause aggregation, again pointing to the need for more complex surface ligand design. One can envision an opportunity for bimodal ligand engineering in which the polarizability of the interface region is increased using a high density of short ligands and the interaction with the matrix is controlled using a low density of polymer brushes to either

covalently link with the matrix or alter the local matrix morphology. Permittivity data from composites using this scheme of ligand architecture can be seen in Figure 12, where the composite is seen to display permittivity higher than the matrix or filler, or even the unmodified filler in composite.

Another interesting opportunity is the use of surface ligands to enhance electron trapping and or scattering, or even local conductivity. Electron trapping molecules at the surface of the nanofiller are theorized to be responsible for a reduction in average carrier mobility and energy in the polymer¹⁵⁰ and have been shown to provide substantial benefits to breakdown strength even at elevated temperature.^{102,151} Selection of active groups which best induce additional trapping of electrons at the filler surface will further enhance surface scattering effects, and

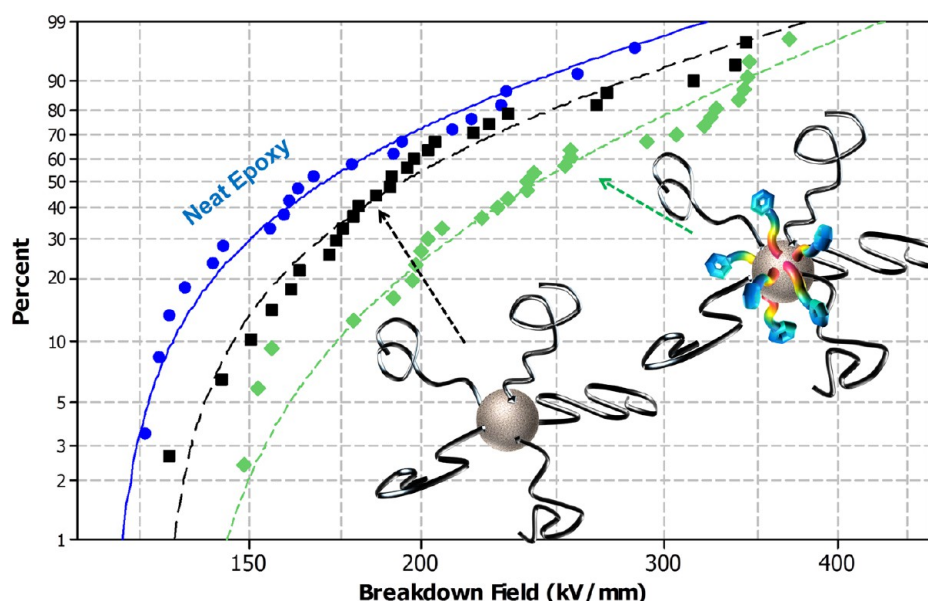


Figure 14. Weibull plots of dielectric breakdown for a neat epoxy and two SiO₂/epoxy nanoparticle composites, one with SiO₂ particles modified with a single population of matrix compatible chains, and one with mixed bimodal brush modified fillers. SiO₂ nanoparticle fillers with mixed bimodal surface ligands are shown to increase breakdown strength over that of the neat epoxy or the composite with only matrix compatible chains.¹⁴⁹

studies of the systematic variation of these surface properties are finally beginning to reveal the enormity of their implication for dielectric material design (see Figure 13).¹⁵²

3.4. Added Functionality and Controlled Dispersion.

The challenge of the recent work on introducing electron trapping ligands is that the fillers agglomerated due to surface modification. To truly understand the effect of surface electrical behavior on permittivity and breakdown strength as well as control their interdependence, dispersion must be independently controlled through the creation of a mixed bimodal brush. The combination of good dispersion to maximize the available interface and tailoring interface properties to enhance trapping activity will provide a powerful two-prong strategy for enhancing breakdown strength in polymers. Recent work using mixed bimodal brush modified nanoparticle fillers has revealed that controlling both dispersion and surface chemistry can create improvements in AC breakdown strength greater than 40% at loadings as low as 2% (see Figure 14),¹⁴⁹ generating improvements greater than those seen with dispersion control or electroactive small molecules alone.

Further development of multimodal surface modification through ligand engineering will allow for the combination and tuning of multiple functionalities leading to materials with properties adjustable for specific dielectric applications. Other phenomena often implicated in insulation failure are water absorption leading to the development of water trees, the evolution of space charge under applied fields and field concentrations at defects. Surface chemistry has been shown to be capable of altering water absorption,^{153–155} which could mitigate water tree formation. Surface chemistries that enhance local conductivity have been shown to limit space charge build up by allowing some relaxation of charge concentrations.^{156–158} As space charge build up is implicated in insulation failure over time, this mitigation may substantially enhance insulation lifetime. Field concentrations could also be mitigated with field-dependent kinetic dissociation of stress grading molecules on particle surfaces, similar to that of tree-retardant grades of cross-linked polyethylene.¹⁵⁹ If ligands that cross-link upon

exposure to internal partial discharges can be designed and grafted to the filler surface, a mechanism for limited self-healing in insulation could also be achieved. Multimodal surface modification's true strength is in permitting the isolation of particular properties of the interface while controlling for conflating parameters. In addition to the creation of multifunctional surface modified fillers for engineering solutions, fundamental mechanistic studies will benefit from this control. Ultimately, the multifunctionality of ligand engineered surfaces is only limited by synthetic chemistry and ambition, and virtually any combination of characteristics might be achievable with the appropriate populations of surface ligands. Designing materials precisely tailored across a wide range of properties is a tantalizing goal, and is likely the next step in the advancement of spherical nanocomposite engineering.

■ APPROACHES USED IN SURFACE LIGAND ENGINEERING

4.1. Surface Grafting Chemistry. Generally, surface ligand engineering includes two strategies, namely “grafting from” and “grafting to”. In the “grafting to” method, free molecules/polymers, containing functional groups, react with nanofiller surface functional groups to create a covalent linkage. Because of steric hindrance, the graft density depends on the molecular weight and flexibility of the molecules.

Silane coupling, phosphate coupling, and “click chemistry” can all be used for “grafting to” a variety of nanoparticles, such as TiO₂,¹⁶⁰ ITO,¹⁶¹ and SiO₂.^{9,162–165} Silane coupling has been widely reviewed.^{165,166} More phosphate functional groups have been used to attach molecules to the surface of titania,^{160,167} barium titanate.¹⁶⁸ In addition, the use of copper-catalyzed azide–alkyne cycloaddition (“click chemistry”) has become a common tool for grafting to and can be used on polymers synthesized using a variety of methods^{9,160–164} because of the easy preparation of clickable blocks (alkyne and azido end-capped moieties) and high efficiency and specificity of the reaction. It does, however, leave a copper catalyst in the mixture.

One technique that can be used to tailor the brushes before attachment is reversible addition–fragmentation chain transfer (RAFT) polymerization which is adaptable to almost all radical polymerizable monomers. For example, it can be used to prepare alkyne and azido end-capped polymers for “click” reaction or through the use of a trimethoxysilane containing RAFT agent¹⁶⁵ to create a polymer that can couple to the hydroxyl groups common on metal oxide nanoparticles. Atom transfer radical polymerization (ATRP)¹⁶⁶ was also used to graft a previously prepared triblock copolymer to silicon wafers.

In the “grafting from” method, polymerization is initiated on the nanofiller surface and the polymer grows in situ. This technique can generate a relatively high graft density due to the absence of steric hindrance. A variety of controlled radical polymerizations (CRP), such as ATRP, nitroxide-mediated polymerization (NMP) and RAFT, have been employed to graft a wide range of polymers from surfaces over a broad range of graft densities.^{163,169–181} These methods have been reviewed by Benicewicz,¹⁸² Brittain,¹⁸³ Matyjaszewski,^{184,185} and Perrier.¹⁸⁶

Recently, nanofillers with bimodal polymer brushes have been developed to decrease the entropic interfacial tension between the grafted and the matrix polymer brushes, and suppress dewetting in polymer matrices.¹⁶⁷ There are only a few reports on the preparation of bimodal brush grafted surfaces. Minko et al.¹⁸⁷ grafted two incompatible polymer brushes, carboxyl-terminated polystyrene and poly(2-vinylpyridine), to silicon wafer consecutively via a “grafting to” technique. Zhao and He¹⁸⁸ reported using a surface anchored “Y” shaped initiator to consecutively conduct ATRP and NMP for grafting poly(acrylic acid) and polystyrene mixed bimodal brushes on silicon wafer. Benicewicz et al.³⁶ first reported preparing bimodal polymer brushes on small size SiO₂ nanoparticles (diameter <100 nm), which is significant for polymer nanocomposites because bimodal brushes had previously only been grafted on silicon wafer or 150 nm SiO₂ particles. The synthesis was based on consecutive RAFT polymerizations. The original SiO₂ nanoparticles were reacted with 3-aminopropyltrimethoxysilane to form amino functionalized SiO₂ nanoparticles followed by the reaction with mercaptothiazoline-activated RAFT agent (CPDB) to obtain RAFT agent coated nanoparticles. After the first surface-initiated RAFT polymerization, the terminal dithiobenzoate moiety was removed by treating with excess amount of AIBN. The exact surface chemistry was repeated one more time to obtain a second population of polymer brushes. This synthesis strategy can be widely employed to prepare bimodal homopolymer brushes and mixed brushes on surfaces including PS/PMMA, PS/PS, and PMMA/PMMA (1st population/2nd population of polymers).

4.2. Ligand Exchange Process. For some nanofillers, such as TiO₂, ITO, and CdSe quantum dots (QDs), ligand exchange is an important strategy to prepare polymer brushes that are firmly attached to the nanoparticles. In this process, weakly bound molecules are replaced by molecules that can strongly bond to enhance the interactions between surface attached polymers and substrates. Oleic acid has been used as an important and common ligand in the synthesis of many metal oxide nanoparticles. It is quite helpful to stabilize the nanoparticles and improve the dispersity in some organic solvents. However, oleic acid is a weak binder and is usually replaced with a silane agent¹⁸⁹ and phosphonic acid/phosphate^{34,160,161,167,190} moiety to obtain stronger binding. A

wide variety of surfaces, such as TiO₂,^{160,167} ITO,¹⁶¹ QDs,³⁴ iron oxide nanoparticles,^{189,191–193} and nanocrystals,^{194–203} have been modified via ligand exchange process.

4.3. Characterization of Surface Ligand Engineering.

The characterization of ligand-functionalized nanoparticles is critically important for nearly all applications, with particular emphasis on the nanoparticle–ligand binding and surface chain characteristics with monomodal, bimodal, mixed bimodal, and multimodal distributions. There are several factors that need to be well-characterized in surface ligand engineering. The first one is graft density. A variety of small molecule ligand-functionalized nanoparticles have been analyzed using their unique UV–vis and/or IR absorptions.^{181,204} The number of small molecules bound to the surface can be measured quantitatively based on the comparison between the absorbance of ligand functionalized particles and a standard UV–vis absorption curve plotted from known concentrations of free ligands. The graft density of polymer grafted nanoparticles can be determined by TGA when the polymer brushes have a narrow length distribution. The second factor is grafting distributions. The polymer length distribution can be easily characterized by GPC analysis of cleaved polymer chains. However, the characterization of spatial distribution of the brushes on particle surfaces is not easy. Recently, significant progress has been achieved in characterization of spatially symmetric and asymmetric distribution of surface functionalities.^{8,205,206} TEM so far is the main technique to qualitatively characterize the asymmetric distribution of surface functionalities.^{207–212} The third factor is the morphology of surface grafted brushes. The specific morphology of the brushes is affected by the interactions between the brush and the dispersion solvent or polymer matrix. The dimensions of the brush have been characterized by dynamic light scattering accompanied by theory and simulation.^{213–220} In benign solvents, the nanoparticle-attached spherical brush morphology agrees with the dimensions of free chains in the same solvents.²²¹ In polymer matrices, small-angle neutron scattering (SANS) accompanied with selective labeling demonstrated that there is a significant reduction of the brush dimensions in polymer matrices compared to typical organic solvents.²²² Kumar et al.²²³ recently specifically discussed the characterization of nanoparticle-attached brush structures in organic solvents and polymer matrices. Recently, the characterization of surface ligand engineering of polymer nanocomposites has been reviewed by Kumar,²²³ Koo,²²⁴ Mittal,²²⁵ and Hussain.³

■ SUMMARY

Surface ligand engineering has been promoting the rapid evolution of nanocomposites, from compatibilizing inorganic nanofillers with an organic matrix to introducing tunable functionalities in addition to the intrinsic properties of nanofiller and matrix. The earliest use of surface ligand engineering includes stabilization of nanofillers in solvent suspensions, where the enthalpic-driven aggregation can be readily counterbalanced. The stable solvent suspensions later offer exciting opportunities for post functionalization of nanoparticles with functional surface ligands, which can be composed of a single ligand species or mixed ligands with different functionalities or environmental responsiveness. Polymer nanocomposite systems, on the other hand, possess more complex enthalpic and entropic nanofiller/matrix interactions, and therefore have a narrower window for good dispersion of nanofillers. Compared to a single population of

matrix-compatible polymer brushes, where the enthalpic and entropic interactions are coupled, a bimodal brush system has proven to expand the dispersion window by decoupling the efforts on enthalpically screening core/core attraction and entropically facilitating brush/matrix interpenetration. Adding another level of complexity to the polymer nanocomposite, a multimodal brush system provides not only highly integrated functionalities but also good matrix compatibility, leading to more innovative solutions to widespread applications.

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Notes

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